

तीसरी मंजिल, लोकनायक भवन, खान मार्केट,  
नई दिल्ली, दिनांक 22.06.2023

कार्यालय ज्ञापन

**Subject:- Setting up of NPS oversight mechanism in pursuance to Department of Expenditure OM dated 02.07.2019- reg.**

Undersigned is directed to refer to Department of Pension and Pensioners' Welfare D.O. letter of even number dated 07.06.2021 (copy enclosed) in reference to Department of Expenditure's OM No. 1(24)/EV/2016, dated 02.07.2019 vide which instructions have been issued for setting up of NPS oversight mechanism in each Ministry/Department to ensure proper monitoring of NPS contributions and ensuring that the same are regularly getting credited into the individual accounts of the employees covered under the National Pension System.

2. It has also been directed in the aforesaid OM that a status report may be sent to the Department of Pension and Pensioners' Welfare every six months intimating the result of the monitoring carried out through the above oversight mechanism with concluding remarks whether the NPS contributions are being credited on time and in case of any slippages, the details of the action taken.

3. Accordingly, it was requested all Ministries / Departments were to furnish status of the aforesaid NPS oversight mechanism in their Ministry/Department and the monitoring done so far, in a prescribed format attached with D.O. letter dated 07.06.2021 to Department of Pension and Pensioners' Welfare in accordance with the instructions issued by Department of Expenditure.

4. However, status reports have been received from a very few Ministries / Departments in this regard. A list of Ministries / Departments who have submitted six monthly report for the period ending 31.03.2023 is also attached

5. It is therefore, requested that the aforesaid report may be sent to this Department in the **revised format** ( Enclosed ) at the earliest and also regularly in future in order to safeguard the interest of Central Government employees covered under National Pension System.

Encl. as above.



(Dr. Pramod Kumar)

Director

Secretary,  
Central Government Ministries / Departments.  
Through email

Annexure

List of Ministries/ Departments submitted Six monthly status report for the period ending on 31.03.2023

1. Ministry of Steel,
2. Ministry of Home Affairs,
3. Department of Personnel and Training,
4. Department of Biotechnology,
5. Ministry of Information and Broadcasting,
6. Ministry of Power,
7. Ministry of Tribal Affairs,
8. Ministry of Labour and Employment
9. Ministry of Development of North Eastern Region
10. Ministry of Micro, Small and Medium Enterprises
11. Ministry of Earth Sciences
12. Department of Space,
13. Ministry of External Affairs



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सचिव  
Indevar Pandey, IAS  
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भारत सरकार,  
कार्मिक, लोक शिकायत तथा पेंशन मंत्रालय,  
पेंशन एवं पेंशनभोगी कल्याण विभाग,  
लोकनायक भवन, खान मार्केट,  
नई दिल्ली-110003  
GOVERNMENT OF INDIA,  
MINISTRY OF PERSONNEL, PUBLIC GRIEVANCES  
& PENSIONS,  
DEPARTMENT OF PENSION & PENSIONERS' WELFARE  
LOK NAYAK BHAWAN, KHAN MARKET,  
NEW DELHI-110003

No. 57/02/2021-P&PW(B)

07-06-2021

Dear Colleagues,

Please refer to the Department of Expenditure's OM No. 1(24)/EV/ 2016, dated 02.07.2019, (copy enclosed) vide which instructions have been issued for setting up of National Pension Scheme (NPS) oversight mechanism chaired by Financial Advisor in each Ministry/Department to ensure proper monitoring of NPS contributions and ensuring that the same are regularly getting credited into the individual accounts of the employees covered under the National Pension System. The above instructions have been issued in implementation of a committee constituted for suggesting measures for streamlining implementation of NPS.

2. It has also been directed in the aforesaid OM that a status report may be sent to the Department of Pension and Pensioners' Welfare every six months intimating the result of the monitoring carried out through the above oversight mechanism with concluding remarks whether the NPS contributions are being credited on time and in case of any slippages, the details of the action taken.

3. I would be grateful, if the status of the aforesaid NPS oversight mechanism in your Ministry/Department and the monitoring done so far, is furnished in enclosed format to this Department in accordance with the instructions issued by Department of Expenditure.

With Regards

Encl. as above

Yours sincerely,

(Indevar Pandey)

Secretaries of All Ministries/Departments



सूचना का  
अधिकार

No.1(24)/EV/2016  
Government of India  
Ministry of Finance  
Department of Expenditure

New Delhi, the 2<sup>nd</sup> July, 2019

Office Memorandum

Subject: Setting up of NPS oversight mechanism.

The undersigned is directed to say that the Committee, as set-up by Department of Financial Services in terms of their OM No. 1/3/2016-PR dt. 21.10.2016 under the Chairmanship of Secretary (Pension) and comprising Secretary, Department of Financial Services and Secretary (Department of Personnel and Training), had submitted its report on 28.2.2018, containing its recommendations for streamlining implementation of the National Pension System (NPS).

2. One of the recommendations contained in para 8.7.1 of its report relating to grievance redressal is as under:-

*"Three-tiered NPS oversight mechanism of the DDO/Head of Office, Joint Secretary (Admin)/Chief Controller of Accounts and the Financial Advisor set up vide Department of Expenditure's OM No.1(2)/EV/2008, dated 03.02.2009 may be strengthened/streamlined to monitor grievances as well as timely registration and credit of contributions to subscribers' accounts. Fresh instructions to this effect and for strict compliance of instructions may be issued by Department of Expenditure."*

3. In the OM of this Department No. 1(2)/EV/2008 dated 3.2.2009, it was provided, inter-alia, that Ministries/Departments may constitute a Committee headed by JS (Admn) and Principal CCA/CCA to monitor registration/regular upload of data and transfer of NPS contributions in respect of Central Government employees to ensure that no delay therein occurs. Subsequently, in terms of instructions of this Department vide OM No. 1(5)/EV/2011 dated 10.7.2011 the Committee was broad-based to include the concerned Financial Advisors and the said instructions dt. 10.7.011 also provided, inter-alia, that the implementation of NPS, with its various attendant parameters, in each Central Ministry/Department, shall be a "key performance area" of the Financial Advisors.

4. The Department of Pension and Pensioners' Welfare, which is the nodal Department in respect of pension related matters of Central Government employees, is separately in the process of framing statutory rules to regulate the matters of National Pension System in case of Central Government employees. These Rules would also cover the issue relating to timely credit of contributions of Central Government employees and the Central Government, as deducted from the salaries of the concerned Government employee, to NPS architecture.



5. However, since timely credit of deduction made from the salary of Central Government employees towards their contribution to NPS, as also the applicable contribution of the Central Government, to the NPS financial architecture is of paramount importance for availability of due and timely returns thereon towards generation of pension corpus, it has been decided that a Committee in each Ministry/Department shall be constituted as under to ensure oversight over the NPS contributions crediting:-

- (i) Financial Advisor - Head of the Committee
- (ii) Joint Secretary (Administration)
- (iii) Principal CCA/CCA
- (iv) The concerned Head of the office
- (v) The concerned DDO

6. The Committee shall be responsible for the following actions:-

- (i) Ensuring that the contribution of employees and the Government are credited without delay to the NPS financial architecture both in case of existing employees and employees newly recruited from time to time and the existing system and procedure being followed for the purpose shall be monitored effectively to ensure that no delay in credit of the contributions takes place.
- (ii) Ensuring that in case any grievance by any employee is received in regard to delay in credit of contribution, either directly from the employee or through PFRDA, the same has been looked into and disposed of in a manner to the satisfaction of the concerned employee.
- (iii) Any other matter as having a bearing on the issue of crediting/remittance of NPS contributions.
- (iv) The Committee shall devise its own mechanism as also appropriate checks & balances to ensure that NPS contributions are credited on time in respect of all employees under NPS system.
- (v) The Committee shall meet at least once in 3 months to review the progress and in case any slippages are noticed, it shall take immediate corrective action. However, the concerned Principal CCA/ CCA shall keep a watch over the progress on a regular basis.

7. While the above Committee shall be set-up in each Ministry/Department, appropriate mechanism for keeping a watch in respect of attached and subordinate offices under that Ministry/Department shall be put in place by the concerned Financial Advisor, so that the overall oversight in respect of the entire Ministry/Department as a whole is exercised by the Committee as mentioned in para 5 above.



8. The concerned Financial Advisor shall send a status report every six month to the Department of Pension and Pensioners' Welfare about the result of the monitoring carried out through the above oversight mechanism with concluding remarks whether the NPS contributions are being credited on time and in case of any slippages, the details of the action taken.



(Amar Nath Singh)  
Director

To,

- (i) All Ministries/Departments of the Government of India (As per Standard List)
- (ii) All Secretaries to the Government of India
- (iii) All Financial Advisors
- (iv) Controller General of Defence Accounts
- (v) Financial Commissioner in case of Railway Accounts
- (vi) Secretary, Department of Posts, and Secretary, Department of Telecommunications. in case of P&T Accounts
- (vii) Controller General of Accounts

**National Pension System Oversight Mechanism**  
**Six monthly Report**

For the period      i) 1<sup>st</sup> April ..... to 30<sup>th</sup> September .....

                          ii) 1<sup>st</sup> October ..... to 31<sup>st</sup> March.....

1. Details of meetings conducted during the six monthly period:

Number of meetings conducted	Dates of meetings. (Minutes to be attached)

2. Status of Registration, PRAN generation and First contribution:

Sl. No.	Months	No. of employees joined during the month	No. of employees whose PRAN generated within time (20 days)	No. of employees whose PRAN not yet generated	No. of employees whose first contribution started within time	Reasons for delay, if any.
1						
2						
3						
4						
5						
6						
Total						

3. Details of remittance of monthly contribution:

Sl. No	Month	Total No. of employees under NPS during the month	No. of employees whose contribution remitted to CRA/ Bank at the end of month	No. of employees whose contribution was not remitted on time	No of Mis-matched SCFs	Reasons for delay, if any
1						
2						
3						
4						
5						
6						

4. Status of Processing of Withdrawal request on exit from NPS:

Months	No. of employees retired during the month	No. of employees whose withdrawal process started within prescribed time	No. of employees who received lump sum benefits	No. of employees whose Annuity started	No. of employees whose withdrawal case is pending after retirement	Reasons for delay, if any.

5. Status of Grievance redressal of employees covered under NPS:

No. of grievances pending from last six monthly period	No. of grievances received during six monthly period	No. of grievances disposed during this period	No. of grievances pending for less than 1 month	No. of grievances pending for 1 to 3 months	No. of grievances pending of more than 3 months

6. Status of other PRAN related issues:

Total No. of employees covered under NPS in the Department	No. of employees whose PRAN has been generated	No. of PRAN which are not IRA compliant	No. of employees whose nomination available for NPS benefits	No. of employees whose contact details viz mobile no. and email are updated in PRAN

7. Remarks, if any.